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Application No. <u>09/697, 398</u>	Prepared by <u>J. Escarola</u>	Tracking Number <u>05990845</u>	
Examiner-GAU <u>Alam - 2155</u>	Date <u>8/17/04</u>	Week Date <u>8/2/04</u>	
	No. of queries <u>1</u>	<u>HW T1</u>	

## JACKET

a. Serial No.	f. Foreign Priority	k. Print Claim(s)	p. PTO-1449
b. Applicant(s)	g. Disclaimer	l. Print Fig.	q. PTOL-85b
c. Continuing Data	h. Microfiche Appendix	m. Searched Column	r. Abstract
d. PCT	i. Title	n. PTO-270/328	s. Sheets/Figs
e. Domestic Priority	j. Claims Allowed	o. PTO-892	t. Other

## SPECIFICATION

- a. Page Missing
- b. Text Continuity
- c. Holes through Data
- d. Other Missing Text
- e. Illegible Text
- f. Duplicate Text
- g. Brief Description
- h. Sequence Listing
- i. Appendix
- j. Amendments
- k. Other

## CLAIMS

- a. Claim(s) Missing
- b. Improper Dependency
- c. Duplicate Numbers
- d. Incorrect Numbering
- e. Index Disagrees
- f. Punctuation
- g. Amendments
- h. Bracketing
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- j. Duplicate Text
- k. Other

## MESSAGE

PTO 1449:

please either initial  
or line through citation(s).  
(copies attached for reference)

Thank you!

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## RESPONSE

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet 1 of 2

Application Number.: 09/697,398  
 Filing Date: October 27, 2000  
 First Named Inventor: Miller et al.  
 Art Unit: 2152  
 Examiner Name: Unassigned  
 Attorney Docket Number.: ROC920000129US1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
	A	US - 4,709,365	11-24-1987	Beale et al.	
	B	US - 5,146,590	09-08-1992	Lorie et al.	
	C	US - 5,179,699	01-12-1993	Iyer et al.	
	D	US - 5,502,818	03-26-1996	Lamberg	
	E	US - 5,640,554	06-17-1997	Take	
	F	US - 5,729,687	03-17-1998	Rothrock et al.	
	G	US - 5,973,724	10-26-1999	Riddle	
	H	US - 6,317,867 B1	11-13-2001	Elnozahy	
	I	US - 6,427,148 B1	07-30-2002	Cossock	
	J	US - 6,460,039 B1	10-01-2002	Pinter et al.	
	K	US - 6,529,958 B1	03-04-2003	Oba et al.	
	L	US - 6,564,372 B1	05-13-2003	Babaian et al.	
	M	US - 6,578,032 B1	06-10-2003	Chandrasekar et al.	

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**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T <sup>6</sup>

Examiner Signature

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet 1 of 1



Application Number.: 09/697,398

Filing Date: October 27, 2000

First Named Inventor: Robert Miller

Art Unit: 2155

Examiner Name: T. Nguyen

Attorney Docket Number.: ROC920000129US1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
		US - 5.883.939	03-16-1999	Friedman et al.	<b>RECEIVED</b> APR 27 2004 Technology Center 2100
		US - 6.006.259	12-21-1999	Adelman et al.	
		US - 6.078.957	06-20-2000	Adelman et al.	
		US - 6.216.150 B1	04-10-2001	Badovinatz et al.	
		US - 6.363.495 B1	03-26-2002	MacKenzie et al.	
		US - 6.425.014 B1	07-23-2002	Aiken, Jr. et al.	
		US - 6.449.641 B1	09-10-2002	Mojin et al.	
		US - 6.507.863 B2	01-14-2003	Novaes	
		US -			
		US -			
		US -			

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 Art Unit: 2152  
 Examiner Name: Unassigned  
 Attorney Docket Number.: ROC920000129US1

**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and or country where published.	T <sup>2</sup>
	N	ARINDAM BANERJI et al., "High-Performance Distributed Shared Memory Substrate for Workstation Clusters," in Proc. of the Second IEEE Int'l Symposium on High Performance Distributed Computing, January 1993	
	O	JING-CHIOU LIOU et al., "A Comparison of General Approaches to Multiprocessor Scheduling," Parallel Processing Symposium, 1997, Proceedings, 11th International, April 1-5, 1997, Pages 152-156	
	P	LESZEK LILIEN, "Quasi-Partitioning: A New Paradigm for Transaction Execution in Partitioned Distributed Database Systems," Data Engineering, 1989, Proceedings, Fifth International Conference on February 6-10, 1989, Pages 546-553	
	Q	PEI YUNZHANG et al., "Totally Ordered Reliable Multicast for Whiteboard Application," Department of Computer Science and Technology, Tsinghua University, Beijing, 1999, Pages 1-7	
	R	WANLEI ZHOU et al., "Parallel Recovery in a Replicated Object Environment," School of Computing and Mathematics, Deakin University, Pages 1-6	
	S	D. A. AGARWAL et al., "A Reliable Ordered Delivery Protocol for Interconnected Local-Area Networks," in Proc. of the International Conference on Network Protocols, Tokyo, Japan, November 1995, Pages 365-374	

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